

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT APPLICATION EXAMINING OPERATIONS**

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| Appl. No. | : | 09/668,777 | Confirmation No. |
| Applicant | : | Errico, et. al. | |
| Filed | : | September 22, 2000 | |
| TC/A.U. | : | 2672 | |
| Examiner | : | Joseph G. Ustaris | |
| Docket No. | : | KLR 7146.0088 | |
| Customer No. | : | 00152 | |
| Title: | | METHOD FOR INTERPRETING AND EXECUTING USER PREFERENCES OF AUDIOVISUAL INFORMATION | |

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
BEFORE MAILING OF EITHER A FINAL ACTION
OR NOTICE OF ALLOWANCE (37 CFR § 1.97(c))**

1600 ODS Tower
601 S.W. Second Avenue
Portland, Oregon 97204-3157
July 19, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of this national application or the date of entry of the national stage, as set forth in §2.492, of the international application, or after the mailing date of a first Office Action on the merits, which ever occurred last, but before the mailing date of either:

- (1) a final action under §1.113 or
- (2) a notice of allowance under §1.311

Accompanying this transmittal is:

☐ a statement as specified in 37 CFR §1.97(e).

OR

☒ the fee as set forth in 37 CFR §1.17(p) for submission of an Information Disclosure Statement under §1.97(c).

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In accordance with 37 CFR §1.97(h), the filing of this Information Disclosure Statement will not be regarded as an admission that any reference or combination of references referred to herein is, or is considered to be, material to patentability under 37 CFR §1.56(b) unless specifically designated as such.

The person making this statement is the attorney who signs below on the basis of the information supplied by the inventor and the information in his file.

Respectfully submitted,



Kevin L. Russell
Reg. No. 38,292
Of Attorneys for Applicant
Tel: (503) 227-5631

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| INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i> | | | | Complete if Known | |
| | | | | Application Number | 09/668,777 |
| | | | | Filing Date | September 22, 2000 |
| | | | | First Named Inventor | James Errico |
| | | | | Art Unit | 2672 |
| | | | | Examiner Name | Joseph G. Ustaris |
| Sheet | 1 | of | 3 | Attorney Docket Number | KLR 7146.0088 |

| U. S. PATENT DOCUMENTS | | | | | |
|------------------------|--------------------------|--|--------------------------------|--|--|
| Examiner Initials | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant, Passages or Relevant Figures Appear |
| | | Number-Kind Code ² (if known) | | | |
| | AA | US-5,012,334 | 04-30-1991 | Etra | |
| | AB | US-5,822,537 | 10-13-1998 | Katseff, et. al. | |
| | AC | US-5,835,087 | 11-10-1998 | Herz, et. al. | |
| | AD | US-5,848,396 | 12-08-1998 | Gerace | |
| | AE | US-5,977,964 | 11-02-1999 | Williams, et. al. | |
| | AF | US-5,995,094 | 11-30-1999 | Eggen, et. al. | |
| | AG | US-6,029,195 | 02-22-2000 | Herz | |
| | AH | US-6,298,482 | 10-02-2001 | Seidman, et. al. | |
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| Examiner Initials | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant, Passages or Relevant Figures Appear | T ⁶ |
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| Examiner Signature | | Date Considered | |
|-----------------------|--|--------------------|--|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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| | | | | Examiner Name | Joseph G. Ustaris |
| Sheet | 2 | of | 2 | Attorney Docket Number | KLR 7146.0088 |

| NON PATENT LITERATURE DOCUMENTS | | | |
|---------------------------------|-----------------------|---|--------------------------|
| Examiner Initials | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
| | BA | Sezan, et al., "Proposal for User Preference Descriptions in MPEG-7", ISO/IEC JTC/SC29/WG11 M5222, MPEG99, October 4, 1999 | <input type="checkbox"/> |
| | BB | Beck, 10/14/1999 Update and 10/29/1999 Update to "User Preference Descriptions for MPEG-7", ISO/IEC JTC1/SC29/WG11, Maui, Hawaii, published December 1999. | <input type="checkbox"/> |
| | BC | Lewis, Ted of Daimler-Chrysler Research & Technology, "UniNet: The Ubiquitous Internet Will be Wireless", Computer Magazine, published by IEEE Computer Society Publications, October 1999. | <input type="checkbox"/> |
| | BD | Summary Description Scheme defined in ISO/IE JTC1/SC29/WG11 N2966, "MPEG-& Generic AV Descriptions Schemes, Vol. 7," Melbourne, Australia, October 1999. | <input type="checkbox"/> |
| | BE | MPEG-7 Description Definition Language Document V2 (DDL)", explained in ISO/IEC JTC1/SC29/WG11 N2997, Melbourne, Australia, October 1999. | <input type="checkbox"/> |
| | BF | ISO/IEC JTC1/SC29/WG11 N2859, "MPEG-7 Requirements Document V.9", Vancouver, B.C., July 1999. | <input type="checkbox"/> |
| | BG | ISO/IEC JTC1/SC29/WG11 M4601, "MPEG-7 Requirements for Description of Users", Seoul, Korea, March 1999 | <input type="checkbox"/> |
| | BH | ISO/IEC JTC1/SC29/WG11, MPEG-7 Proposal (P429), "Description Schemes for Consumer Video Applications". | <input type="checkbox"/> |
| | BI | ISO/IEC JTC1/SC29/WG11, "MPEG-7 Media/Meta Dss (VO.2)", August 1999 | <input type="checkbox"/> |
| | BJ | ISO/IEC JTC1/SC29/WG11 N2844, "MPEG-7 Description Schemes (VO.5), Vancouver, B.C., July 1999. | <input type="checkbox"/> |
| | BK | XML Schema Part 1: Structures, W3C Working Draft, 6 May 1999, http://www.w3.org/1999/05/06-wmlschema-1/ . | <input type="checkbox"/> |
| | BL | Composite Capability/Preference Profiles (CC/PP): A User Side Framework for Content Negotiation," work in progress, W3C Note 27, July 1999, http://www.w3.org/TR/1999/NOTE-CCPP-19990727/ . | <input type="checkbox"/> |

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